10754174 - GAU: 3735 PTOISB/21 (09-04)

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		Application Number		10/754,174			
TRANSMITTAL FORM		Filing Date		January 9, 2004			
		First Named Inventor		Lilip Lau			
		Art Unit		3736			
- 1 of 160 and		Examiner Name		Nikita R. Veniaminov			
(to be used for all correspondence after initial Total Number of Pages in This Submission	Attorney Docket Nun	nber	PARCR 66327				
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Fee Transmittal Form  Fee Attached  Amendment / Reply  After Final  Aftidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Reply to Missing Parts under  37 CFR 1.52 or 1.53	Petition Petition Provisio Power of Change Termina Reques	to Convert to a mail Application in August 20	_	Anter Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply  Propriedary information  Status Letter  Other Endosure(a) (please identify below):  Postcard			
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Firm Name FULWIDER PATTON LEE & UTECHT, LLP							
Signature Jhu Neggy							
Printed name JOHN S. NAGY, ESQ.							
Date February 27, 2006			Reg. No	30,664			
CERTIFICATE OF TRANSMISSION/MAILING							
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Typed or printed name JOHNS. NAGY, ESQ.

Date February 17, 2006

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for FY 2006			Examiner Name	niner Name Nikita R Veniaminov			
Applicant claims small e	ntity status.	See 37 CFR 1.27	Art Unit	3736			
TOTAL AMOUNT OF PA	YMENT	(\$) \$180.0	Attorney Docket N	io. PARCR 6	6327		
METHOD OF PAYMENT	(check all	that apply)					
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FEE CALCULATION (A	III the fees	below are due u	pon filing or may b	oe subject to a	surcharge	·	
1. BASIC FILING, SEAR	CH, AND EX	AMINATION FEES		EXAMINAT			
	FILING F	EES SE Small Entity	EARCH FEES Small Entity		Small Entity		
Application Type	Fee (\$)	Fee (\$) Fe	e (\$) Fee (\$)	Fee (\$)	Fee (\$)	Fees Paid(\$)	
Utility	300		00 250	200	100		
Design	200	100 1	00 50	130	65		
Plant	200	100	300 150	160	80		
Reissue	300	150	500 250	600	300		
Provisional	200	100	0 0	0	0		
2. EXCESS CLAIM FEE						Small Entity	
Fee Description	•				Fee (\$) 50	Fee (\$) 25	
Each claim over 20 (inclu	ıding Reissu	ies)			200	100	
Each independent claim	over 3 (inclu	iding Reissues)			360	180	
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-3 or HP	=	x\$43.0					
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3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer) 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under If the specification size filed the specific electronically filed sequence or computer listing under If the specific electronic e							
37 CFR 1.52(e)), the app See 35 U.S.C. 41(a)(1)(		ED 1 16(e)					
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4. OTHER FEE(S)	4. OTHER FEE(S)  Non-English specification, \$130 fee (no small entity discount)  \$180.00						
Non-English specification Other (e.g., late filing su	rcharge): Se	cond IDS				\$180.00	
SUBMITTED BY	11	1/200	Registration No.	30,664	Telephone	(310) 824-5555	
Signature	ymi	Varya N	(Attorney/Agent)		Date	February 27, 2006	
Namo (Brint/Tune)		( / // JUHN S.P	1/101, 600.				

Name (PrintType) — John S. NACY, ESQ.

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#### CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop AMENDMENT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on February 27, 2006.



S. Nagy, Reg. No. 30,664

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/754,174

Applicant : Lilip Lau, William Hartigan, Anuja Patel

Filed : January 9, 2004

Art Unit : 3736

Examiner : Nikita R. Veniaminov

Title : DEVICE FOR TREATING HEART FAILURE

Docket No.: : PARCR 66327

Customer No. : 24201

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# SECOND SUPPLEMENTAL

# INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The documents listed on the enclosed Forms PTO/SB/08A have come to the attention of Applicant and are submitted to the Office under 37 C.F.R. § 1.97(c) (3) and §1.98(d).

This application is a continuation of U.S. Serial No. 10/242,016 filed

September 10, 2002 now U.S. Patent No. 6,723,041. The foregoing application is

incorporated herein by reference. Priority is claimed to this application.

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /JL/

The petition fee set forth in 37 C.F.R. § 1.17(p) is enclosed. Please charge any deficiency in fees or credit any overpayment to our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

y. Iohn S. Nac

Registration No. 30,664

JSN:jeb Enclosures

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045 Telephone: (310) 824-5555 Facsimile: (310) 824-9696 Customer No. 24201

#### 10754174 - GAU: 3735

PTO/SB/08a (06-03)
Approved for use through 07/31/2003 OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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ubstitute for form 1449A/PTO
Application Number | 10/754,174
Filing Date | January 2, 2004
First Named Inventor | Lilip Lau

STATEMENT BY APPLICANT

Nikita R. Veniaminov Examiner Name (use as many sheets as necessary) PARCR 66327 of I Attorney Docket Number U.S. PATENT DOCUMENTS Document Number Pages, Columns, Lines. Where Name of Patentee or Examiner Cite Publication Date Kind Code<sup>2</sup> Applicant of Cited Relevant Passages or Relevant No MM-DD-YYYY Initials\* Document Figures Appear 5,876,432 03/02/1000 Lau et al. 6,076,013 06/13/2000 Brennan et al 6.217.894 04/17/2001 Sawhney et al 6,312,725 11/06/2001 Wallace et al. 6,352,710 03/05/2002 Sawhney et al 6.517.570 02/11/2003 I au et al 10/14/2003 6,633,780 Berger 6,699,259 03/02/2004 Fogarty et al 6.759.431 07/06/2004 Hunter et al. Sawhney 6,818,018 11/16/2004 6,833,408 12/21/2004 Schl et al 6.876.887 04/05/2005 Okuzumi

Art Unit

FOREIGN PATENT DOCUMENTS								
Examiner Cite Initials* No	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines Where Relevant Passages	76
	Office <sup>3</sup>	Number <sup>a</sup>	Kind <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear		
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Examiner /John Lacyk/ Signature	Date Considered	03/31/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*Applicant sinque citation designation number (optional) \*Des Kinds Codes of USPTO Patent Documents at <a href="https://www.spido.org/">www.spido.org/</a> or MPEP 901.04. \*Enter Office that issued the document, by the vol-tetre code (UMPC), Sandard 873." For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*I kind of document by the appropriate symbols as indicated on the document under WIPOS tandard 873. I foll possible. \*Applicant is to place a check may the reif English language Translation is attached.

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